

Vontobel Trading Venues

This list should be read in conjunction with the Best Execution and Order Handling Policy of Bank Vontobel AG.



The enclosed list shows the most important trading venues that are taken into consideration in order to achieve the best possible execution for the client. The list is not complete. The “Bank” can use other, not listed, trading venues in those instances where either an advantage for the “Client” is clearly indicated, or no disadvantage is perceived. The “Bank” can also delete a trading venue from the list at any time. Where an advantage for the “Client” can be expected, or no disadvantage is anticipated, the “Bank” can act as principal in a transaction. In order to achieve the best possible execution, where an internal transaction takes place, all available on-, and off-exchange quotes are consolidated.

On those trading venues where the “Bank” is a member, orders are usually executed by the “Bank”, acting as agent. Depending on the prevailing market situation and/or the “Client’s” instructions, orders can be placed through the broker network. In non-member markets, the “Bank” does not have the possibility to transact orders itself and in those instances the broker network will always be used.

Equities

Regulated Markets

COUNTRY	MIC	VENUE NAME	ACCESS
Australia	BLXA	Instinet BLX	Broker Network
	XASX	ASX – All Markets	Broker Network
Austria	WBAH	Wiener Boerse AG, Amtlicher Handel	Broker Network
	XVIE	Wiener Boerse AG, Wertpapierboerse	Broker Network
	XWBO	Wiener Boerse AG	Broker Network
Belgium	ALXB	Euronext Growth Brussels	Member
	XBRU	Euronext Brussels	Member
Brazil	BOVM	Bolsa de Valores Minas-Espirito Santo-Brasilia	Broker Network
Bulgaria	XBUL	Bulgarian Stock Exchange	Broker Network
Canada	XATS	Alpha Exchange	Broker Network
	XCNQ	Canadian National Stock Exchange	Broker Network
	XTSE	Toronto Stock Exchange	Broker Network
	XTSX	TSX Venture Exchange	Broker Network
China	XSHE	Shenzhen Stock Exchange	Broker Network
	XSHG	Shanghai Stock Exchange	Broker Network
Croatia	XZAG	Zagreb Stock Exchange	Broker Network
Czech Republic	XPRA	Prague Stock Exchange	Broker Network
Denmark	DCSE	Nasdaq Copenhagen A/S - Nordic@mid	Member
	MCSE	Nasdaq Copenhagen A/S - Auction On Demand	Member
	XCSE	Nasdaq Copenhagen	Member
Estonia	XTAL	Nasdaq Tallinn AS	Broker Network
Finland	DHEL	Nasdaq Helsinki Ltd - Nordic@mid	Member
	XHEL	Nasdaq Helsinki Ltd	Member
France	ALXP	Euronext Growth Paris	Member
	XMLI	Euronext Access Paris	Member
	XPAR	Euronext Paris	Member
Germany	XBER	Boerse Berlin	Broker Network
	XDUS	Boerse Duesseldorf	Broker Network
	XEQT	Boerse Berlin Equiduct Trading	Broker Network
	XETA	Xetra - Regulierter Markt	Member
	XETR	Xetra	Member
	XFRA	Deutsche Boerse AG	Member
	XHAM	Hanseatische Wertpapierboerse Hamburg	Broker Network
	XHAN	Niedersaechsische Boerse Zu Hannover	Broker Network
	XMUN	Boerse Muenchen	Broker Network
	XSCO	Boerse Frankfurt Warrants Technical	Member
XSTU	Boerse Stuttgart	Broker Network	
Greece	XATH	Athens Exchange S.A. Cash Market	Broker Network
Hong Kong	XHKG	Hong Kong Exchanges And Clearing Ltd	Broker Network
Hungary	XBUD	Budapest Stock Exchange	Broker Network
Indonesia	XIDX	Indonesia Stock Exchange	Broker Network

Ireland	XDUB	Irish Stock Exchange	Broker Network
	XMSM	Euronext Dublin	Broker Network
Israel	XTAE	Tel Aviv Stock Exchange	Broker Network
Italy	ETFP	Electronic ETF, ETC/ETN and Open-end Funds Market	Broker Network
	MTAA	Electronic Share Market	Broker Network
	XMIL	Borsa Italiana S.P.A.	Member
Japan	NXJP	NX Japan	Broker Network
	NXSE	NX Select Japan	Broker Network
	XFKA	Fukuoka Stock Exchange	Broker Network
	XTKS	Tokyo Stock Exchange	Broker Network
Korea, Republic Of	XKRX	Korea Exchange	Broker Network
Latvia	XRIS	Nasdaq Riga AS	Broker Network
Lithuania	XLIT	Ab Nasdaq Vilnius	Broker Network
Luxembourg	XLUX	Luxembourg Stock Exchange	Broker Network
Malaysia	XKLS	Bursa Malaysia	Broker Network
Mexico	XMEX	Bolsa Mexicana De Valores (Mexican Stock Exchange)	Broker Network
New Zealand	XNZE	New Zealand Exchange Ltd	Broker Network
Norway	XOSL	Oslo Bors ASA	Broker Network
Peru	XLIM	Bolsa De Valores De Lima	Broker Network
Philippines	XPHS	Philippine Stock Exchange, Inc.	Broker Network
Poland	XWAR	Warsaw Stock Exchange	Broker Network
Portugal	XLIS	Euronext Lisbon	Member
Romania	XBSE	Spot Regulated Market - BVB	Broker Network
Russia	MISX	Moscow Exchange	Broker Network
Serbia	XBEL	Belgrade Stock Exchange	Broker Network
Singapore	XSES	Singapore Exchange	Broker Network
Slovakia	XBRA	Bratislava Stock Exchange	Broker Network
Slovenia	XLJU	Ljubljana Stock Exchange	Broker Network
South Africa	XJSE	Johannesburg Stock Exchange	Broker Network
Spain	XMAD	Bolsa De Madrid	Broker Network
Sweden	DSTO	Nasdaq Stockholm AB - Nordic@mid	Member
	MSTO	Nasdaq Stockholm AB - Auction On Demand	Member
	XSTO	Nasdaq Stockholm AB	Member
Switzerland	XBRN	BX Swiss AG	Member
	XQMH	SIX Swiss Exchange - Structured Products	Member
	XSWM	SIX Swiss Exchange - Six Swiss Exchange At Midpoint	Member
	XSWX	SIX Swiss Exchange	Member
Thailand	XBKK	The Stock Exchange of Thailand	Broker Network
The Netherlands	XAMS	Euronext Amsterdam	Member
Turkey	XIST	Borsa Istanbul	Broker Network
Ukraine	UKEX	Ukrainian Stock Exchange	Broker Network
United Kingdom	BART	CBOE Europe - Regulated Market Integrated Book	Member
	XLON	London Stock Exchange	Broker Network
United States Of America	ARCD	Arca Dark	Broker Network
	ARCX	NYSE Arca	Broker Network
	BATS	CBOE BZX U.S. Equities Exchange	Broker Network
	EDGA	CBOE EDGA U.S. Equities Exchange	Broker Network
	EDGD	CBOE EDGA U.S. Equities Exchange Dark	Broker Network

	EDGX	CBOE EDGX U.S. Equities Exchange	Broker Network
	IEXG	Investors Exchange	Broker Network
	NASD	NSDQ Dark	Broker Network
	NYSD	NYSE Dark	Broker Network
	OTCM	OTC Markets	Broker Network
	XASE	NYSE Mkt Llc	Broker Network
	XBOS	NASDAQ OMX BX	Broker Network
	XCHI	NYSE Chicago, Inc.	Broker Network
	XCIS	NYSE National, Inc.	Broker Network
	XNAS	NASDAQ	Broker Network
	XNCM	NASDAQ Capital Market	Broker Network
	XNGS	NASDAQ/Ngs	Broker Network
	XNMS	NASDAQ/NMS	Broker Network
	XNYS	New York Stock Exchange, Inc.	Broker Network
	XPHL	NASDAQ OMX Phlx	Broker Network
	XPHO	Philadelphia Options Exchange	Broker Network
	XPSX	NASDAQ OMX PSX	Broker Network
XX	XOFF	Off-Exchange Transaction	Member Broker Network

Multilateral Trading Facilities

COUNTRY	MIC	VENUE NAME	ACCESS
Belgium	VPXB	Euronext Expert Market	Member
	FNFI	First North Finland	Member
Finland	XPAC	Posit Auction	Broker Network
	XPOS	Posit Dark	Broker Network
France	AQEA	Aquis Exchange Europe Auction on Demand	Member
	AQEU	Aquis Exchange Europe	Member
Ireland	XESM	Euronext Growth Dublin	Broker Network
Italy	XAIM	AIM Italia - Mercato Alternativo Del Capitale	Broker Network
Luxembourg	EMTF	Euro MTF	Broker Network
Sweden	DOSE	First North Sweden - Norway Nordic@mid	Member
	FNSE	First North Sweden	Member
	NMTF	Nordic MTF	Broker Network
	ONSE	First North Sweden - Norway	Member
	SSME	First North Sweden - SME Growth Market	Broker Network
	XSAT	Spotlight Stock Market	Broker Network
	The Netherlands	BEUD	CBOE Europe - BXE Dark Order Book
BEUE		CBOE Europe - BXE Order Books	Member
BEUF		CBOE Europe - BXE Off-Book	Member
BEUO		CBOE Europe – Regulated Market Off-Book	Member
BEUP		CBOE Europe - DXE Periodic	Member
BEUT		CBOE Europe – Regulated Market Integrated Book	Member
CEUD		CBOE Europe - DXE Dark Order Book	Member
CEUE		CBOE Europe - CXE Order Book	Member
CEUO		CBOE Europe - DXE Off-Book	Member
CEUX		CBOE Europe - CXE Order Books	Member
TQEA		Turquoise Europe – Lit Auctions	Member

	TQEB	Turquoise Europe - Nylon Cash Order Book	Member
	TQEM	Turquoise Europe - Dark	Member
	TQEX	Turquoise Europe	Member
	TWEM	Tradeweb Europe MTF	Member
United Kingdom	AIMX	London Stock Exchange - Aim MTF	Broker Network
	AQXA	Aquis Exchange Plc Auction On Demand	Member
	AQXE	Aquis Exchange Plc	Member
	BATD	CBOE Europe - BXE Dark Order Book	Member
	BATE	CBOE Europe - BXE Order Books	Member
	BATF	CBOE Europe - BXE Off-book	Member
	BATP	CBOE Europe - BXE Periodic	Member
	BLOX	Blockmatch Dark	Broker Network
	BRFQ	Blockmatch MTF RFQ	Broker Network
	CHID	CBOE Europe - CXE Dark Order Book	Member
	CHIX	CBOE Europe - CXE Order Books	Member
	LIQU	Liquidnet Systems	Member
	LISX	CBOE Europe - LIS Service	Member
	SGMX	Sigma X MTF	Broker Network
	SGMY	Sigma X MTF - Auction Book	Broker Network
	TREU	Tradeweb Europe Limited	Member
	TRQA	Turquoise Lit Auctions	Member
	TRQM	Turquoise Plato	Member
	TRQX	Turquoise	Member
	United States Of America	XUBS	UBS MTF
	TRWB	Tradeweb Llc	Member

Systematic Internalisers

COUNTRY	MIC	VENUE NAME	ACCESS
Germany	BGSI	Berenberg - Systematic Internaliser	Broker Network
	SSWM	SSW Market Making - Systematic Internaliser	Broker Network
	IMCT	IMC - Systematic Internaliser	Broker Network
United Kingdom	GSSI	Goldman Sachs International - Systematic Internaliser	Broker Network
	JLSI	Jump Liquidity - Systematic Internaliser	Broker Network
	JPSI	J.P. Morgan Securities Plc	Broker Network
	JSSI	Jane Street Financial Ltd - Systematic Internaliser	Member
	MSSI	Morgan Stanley And Co. Int. Plc - Systematic Internaliser	Broker Network
	SICS	Credit Suisse Securities Limited - Systematic Internaliser	Broker Network
	XTXE	XTXE Markets SAS	Member
	XTXM	XTX Markets	Member

Alternative Trading Venues

COUNTRY	MIC	VENUE NAME	ACCESS
United States Of America	BARX	Barclays ATS	Broker Network
	BIDS	Bids Trading L.P.	Broker Network
	ITGI	ITG - Posit	Broker Network

Fixed Income

COUNTRY	MIC	VENUE NAME	ACCESS
Australia	XASX	ASX - All Markets	Broker Network
Austria	WBAH	Wiener Boerse AG Amtlicher Handel	Broker Network
	XVIE	Wiener Boerse AG Wertpapierboerse	Broker Network
Belgium	XBRU	Euronext Brussels	Member
France	XMLI	Euronext Access Paris	Member
	XPAR	Euronext Paris	Member
Germany	XBER	Boerse Berlin	Broker Network
	XDUS	Boerse Duesseldorf	Broker Network
	XFRA	Deutsche Boerse AG	Member
	XHAM	Hanseatische Wertpapierboerse Hamburg	Broker Network
	XMUN	Boerse Muenchen	Broker Network
	XSCO	Boerse Frankfurt Warrants Technical	Member
	XSTU	Boerse Stuttgart	Broker Network
Ireland	XDUB	Irish Stock Exchange	Broker Network
Italy	MOTX	Electronic Bond Market	Broker Network
	MTAA	Electronic Share Market	Broker Network
	SSOB	Bondvision Europe MTF	Broker Network
Luxembourg	XLUX	Luxembourg Stock Exchange	Broker Network
New Zealand	XNZE	New Zealand Exchange Ltd	Broker Network
Switzerland	XQMH	Six Swiss Exchange - Structured Products	Member
	XSWX	Six Swiss Exchange	Member
The Netherlands	TWEM	Tradeweb EU BV - MTF	Member
	XAMS	Euronext Amsterdam	Member
United Kingdom	BMTF	Bloomberg Trading Facility Limited	Member
	TREU	Tradeweb Europe Limited	Member
	XCOR	ICMA	Broker Network
	XLON	London Stock Exchange	Member
	ARCX	NYSE Arca	Broker Network
	BIDS	Bids Trading L.P.	Broker Network
	MTSB	MTS Bonds.Com	Broker Network
	TRWB	Tradeweb Llc	Member
	UBSA	UBS ATS	Broker Network
	VABD	Bondpoint	Broker Network
United States Of America	XASE	NYSE Mkt Llc	Broker Network
	XBOS	NASDAQ OMX BX	Broker Network
	XCIS	BYSE National, Inc.	Broker Network
	XNAS	NASDAQ	Broker Network
	XNMS	NASDAQ/NMS	Broker Network
	XNYS	New York Stock Exchange, Inc.	Broker Network
	XPSX	NASDAQ OMX PSX	Broker Network
XX	XOFF	Off-Exchange Transaction	Broker Network

Futures & Options

VENUE NAME	ACCESS
American Stock Exchange	Broker Network
Australian Securities Exchange	Broker Network
Boston Option Exchange	Broker Network
Brazilian Mercantile & Futures Exchange	Broker Network
CBOE Futures Exchange	Broker Network
Chicago Board of Trade	Broker Network
Chicago Board Options Exchange	Broker Network
Chicago Mercantile Exchange	Broker Network
EDX London	Broker Network
ENDEX	Broker Network
EUREX	Member
Euronext Amsterdam	Broker Network
Euronext.liffe	Broker Network
European Climate Exchange	Broker Network
European Energy Exchange	Broker Network
Hong Kong Exchanges & Clearing Ltd	Broker Network
ICE Futures	Broker Network
Intercontinental Exchange	Broker Network
International Securities Exchange	Broker Network
Italian Derivatives Market	Broker Network
Kansas City Board of Trade	Broker Network
Korea Exchange	Broker Network
London Metal Exchange	Broker Network
MEFF	Broker Network
Minneapolis Grain Exchange	Broker Network
Moscow Interbank Currency Exchange	Broker Network
Nasdaq Liffe Markets	Broker Network
New York Board of Trade	Broker Network
New York Mercantile Exchange	Broker Network
Nordpool	Broker Network
NYBOT Coffee, Sugar and Cocoa Exchange	Broker Network
NYSE ARCA	Broker Network
OMX Nordic Exchange	Broker Network
OneChicago	Broker Network
Osaka Securities	Broker Network
Philadelphia Board of Trade	Broker Network
Singapore Exchange Derivatives Trading	Broker Network
Sydney Futures Exchange	Broker Network
Thailand Futures Exchange	Broker Network
Tokyo Financial Exchange	Broker Network
Winnipeg Commodities Exchange	Broker Network