

## Vontobel Specials Global Equities (USD)

### Product information

#### Your objectives

Your goal is to achieve long-term asset growth. To do this, you are looking for a focused solution that exploits return opportunities in the global equities market through active management and risk control. Therefore, you want to delegate the management to an expert who will manage your portfolio according to your wishes and goals.

#### Our investment approach

Focused portfolio mandate solution based on global equities promoting environmental and social characteristics by applying an ESG approach excluding sectors within given thresholds that harm the environment and society, excluding companies which fail to comply with the Ten UN Global Compact Principles and / or exclude any red-flagged companies based on controversy ratings and excluding companies that are ESG laggards. This is all based on the data and analysis of a third-party provider.

#### Global focus

The investment universe consists of equities of listed companies worldwide.

#### Active stock selection

In composing the portfolio, a structured process based on an active bottom-up approach (investment approach, starts with the company) is applied. It exploits return opportunities in global equity markets through active management. Based on qualitative and quantitative criteria, solid companies with a long-term focus and increased potential for returns are identified. The mandate is based on direct equity investments.

#### Proven expertise

The mandate offers you access to investment specialists with strong track records and many years of experience in managing global equity portfolios. You also benefit from systematic portfolio monitoring and active risk management within a structured investment process, which ensures a more stable performance.

#### Product description

Product	Discretionary, focused mandate solution, which invests in global equities. Stock selection is based on an active investment approach
Investment strategy	Single asset class strategy, Global equities (growth)
Investment currencies	USD
Minimum investment	USD 500,000 or equivalent in foreign currency
Investment universe	Equities of listed companies worldwide
Foreign currency	CHF, EUR, others
Fee model	Flat rate model

#### Product specifications

- Active stock selection
- Portfolio with solid companies with a long-term focus
- Direct investments
- Systematic portfolio monitoring and active risk management

**Investment strategies**

**Single asset class strategy, global equities (growth)**

<b>Investment objectives</b>				
The aim is to achieve long-term asset growth by taking portfolio risks that are accompanied with high fluctuations of the assets.				
<b>Risk profile</b>				
<b>Low risk</b>			<b>Higher risk</b>	
low	moderate	medium	increased	high

**Risks to sustainability**

The investments underlying this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

The sustainability risks that the mandate may be subject to are likely to have a low impact on the value of the mandate's investments in the medium to long term due to the mitigating nature of the mandate's sustainability investment policy and ESG approach incorporating exclusions of sectors that harm the environment and society, excluding companies, which fail to comply with the Ten UN Global Compact Principles and / or exclude any red-flagged companies based on controversy ratings and excluding ESG laggards. This is all based on the data and analysis of a third-party provider. The Portfolio Manager's integration of sustainability risks in the investment decision-making process is reflected in the investment policy. Furthermore, the mandate has recourse to both internal and external ESG research and integrates financially material sustainability risks into its investment decision-making processes. More information may be obtained from the [Sustainable Investing and Advisory Policy](#).

**Investment process**

The portfolio mandate solution promotes environmental and social characteristics by excluding companies which are involved in certain controversial sectors (e.g. tobacco and controversial weapons) within given thresholds, because they may not be considered economically viable or may be harmful in terms of long-term sustainability ([Sustainable Investing and Advisory Policy](#)). This screening also demands compliance with the Ten Principles of the UN Global Compact related to areas such as human rights, labor rights, pollution prevention, anti-corruption and that red-flagged companies based on controversy ratings of a third party provider are excluded. The next step of the process sees the Portfolio Manager apply a best-in-class approach in the selection of individual investments, requiring them to meet at least a minimum ESG rating and therefore excludes ESG laggards based on data of a third-party provider. A final check is conducted to see if the companies are on the Vontobel "Integrated ESG Buy List" or are on an Exception list of companies which do not fully meet the sustainability criteria but have a clear climate transition strategy while also considering positive social aspects, for

<b>Asset class</b> (in %)	<b>Min.</b>	<b>Neutral</b>	<b>Max.</b>
Cash	0	5	30
Equities	70	95	100
Foreign currencies	0	29	100



example by significantly investing in renewable energy and pursuing an explicit zero carbon emission target and providing access to clean energy while still having exposure to nuclear or coal power in which they do not further invest in.

The data obtained from third-party data providers may be incomplete, inaccurate, or unavailable. As a result, a risk of incorrectly assessing a security or issuer exists, resulting in the incorrect inclusion or exclusion of a security. The "do no significant harm" principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

The performance of the Vontobel Specials Global Equities mandate is measured against a financial benchmark based on your specific investment guidelines, investment objectives and requirements, and the availability of Vontobel.

Index for benchmark (in %)	Single asset class strategy, global equities (growth)
<b>Cash</b>	
J.P. Morgan Cash Index USD 1 Month	5
<b>Equities</b>	
MSCI ACWI Net Total Return Index	95

**Investment Suitability:** This publication is intended for general distribution. It is not part of any offer or recommendation and does not take into account your knowledge, experience and personal situation which is required for personal investment advice.

**Legal information**

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