

Vontobel Specials Swiss Equities (CHF)

Product information

Your objectives

Your goal is to achieve long-term asset growth. To do this, you are looking for a focused solution that uses return opportunities on the Swiss equities market through active management and risk control. You also want to delegate the management to an expert who will manage your portfolio according to your wishes and goals.

This makes our Specials Swiss Equities solution the ideal choice for you. This mandate is managed using an active investment process and invests in solid companies within the SPI with a long-term focus. A modern risk management approach is used to control risk, paving the way for you to achieve your goals.

Our investment approach

We offer a focused investment management solution based on equities within the Swiss Performance Index promoting environmental and social characteristics by applying an ESG approach excluding sectors within given thresholds that harm the environment and society, and companies which fail to comply with the Ten UN Global Compact Principles and / or any red-flagged companies based on controversy ratings and companies that have a below-average ESG rating. This is all based on the data and analysis of a third-party provider.

Swiss focus

The mandate comprises equities of companies based in Switzerland and/or whose business focus is in Switzerland, and the mandate benefits from the stability of the Swiss franc.

Active equities selection

In composing the portfolio, a structured process is applied based on an active bottom-up approach (investment approach, starting at the company level). It uses return opportunities on the Swiss equities market through active management. Based on qualitative and quantitative criteria, solid companies with a long-term focus and increased potential for returns are identified. The mandate is based on direct investments.

Proven expertise

The mandate offers you access to investment specialists with strong track records and many years of experience in managing Swiss equity portfolios. Vontobel has many years of proven expertise in the area of Swiss equities. You also benefit from systematic portfolio monitoring and active risk management within a structured investment process, which ensures more stable performance.

Product description

Product	Discretionary, focused mandate solution that invests in equities of the Swiss Performance Index. Equities selection is based on an active investment approach
Investment strategy	Single asset class strategy, Swiss equities (growth)
Investment currencies	CHF
Minimum investment	CHF 250,000 or equivalent in foreign currency
Investment universe	Investments in equities issued by companies that are based in Switzerland and/or whose focus is in Switzerland
Foreign currency	None
Fee model	Flat rate model

Product specifications

- Clear Swiss focus by investing in the Swiss equities market
- Active equities selection
- Portfolio with solid companies within the Swiss Performance Index with a long-term focus
- Direct investments
- Use of derivatives for hedging purposes possible
- Systematic portfolio monitoring and active risk management

Investment strategies

Single asset class strategy, Swiss equities (growth)

Investment objectives				
The aim is to achieve long-term asset growth by taking portfolio risks that are accompanied by high fluctuations of the assets.				
Risk profile				
Low risk			Higher risk	
low	moderate	medium	increased	high

Asset class (in %)	Min.	Neutral	Max.
Cash	0	5	30
Equities	70	95	100
Foreign currencies	0	0	0

Risks to sustainability

The investments underlying this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

The sustainability risks that the mandate may be subject to are likely to have a low impact on the value of the mandate's investments in the medium to long term due to the mitigating nature of the mandate's sustainability investment policy and ESG approach incorporating exclusions of sectors that harm the environment and society, excluding companies that fail to comply with the Ten UN Global Compact Principles, and/or excluding any red-flagged companies based on controversy ratings and excluding ESG laggards. This is all based on the data and analysis of a third-party provider. The portfolio manager's integration of sustainability risks in the investment decision-making process is reflected in the investment policy. Furthermore, the mandate has recourse to both internal and external ESG research and integrates financially material sustainability risks into its investment decision-making processes. More information may be obtained from the [Sustainable Investing and Advisory Policy](#).

energy and pursuing an explicit zero-carbon-emission target and providing access to clean energy while still having exposure to nuclear or coal power in which they do not further invest.

The data obtained from third-party data providers may be incomplete, inaccurate, or unavailable. As a result, a risk of incorrectly assessing a security or issuer exists resulting in the incorrect inclusion or exclusion of a security. The "do no significant harm" principle applies only to those investments underlying the financial product that take into account the EU criteria for environmentally sustainable economic activities. The investments underlying the remaining portion of this financial product do not take into account the EU criteria for environmentally sustainable economic activities.

The performance of the Vontobel Specials Swiss Equities mandate is measured against a financial benchmark based on your specific investment guidelines, investment objectives and requirements, and the availability of Vontobel.

Investment process

The portfolio mandate solution promotes environmental and social characteristics by excluding companies that are involved in certain controversial sectors (e.g., tobacco and controversial weapons) within given thresholds, because they may not be considered economically viable or may be harmful in terms of long-term sustainability ([Sustainable Investing and Advisory Policy](#)). This screening also demands compliance with the Ten Principles of the UN Global Compact related to areas such as human rights, labor rights, pollution prevention, and anti-corruption, and red-flagged companies based on controversy ratings of a third-party provider are excluded. The next step of the process sees the portfolio manager apply a best-in-class approach in the selection of individual investments, requiring them to meet at least a minimum ESG rating, and therefore excluding ESG laggards based on the data of a third-party provider. A final check is conducted to see if the companies are on the Vontobel "Integrated ESG Buy List" or are on an exception list of companies that do not fully meet the sustainability criteria but have a clear climate transition strategy while also considering positive social aspects, for example by significantly investing in renewable

Benchmark indices (in %)	Single asset class strategy, Swiss equities (growth)
Cash	
J.P. Morgan Cash Index CHF 1 Month	5
Equities	
Swiss Performance Index	95

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