Vontobel

Implementation of MiFID ESG preferences in wealth management

Purpose

This document provides information about the current implementation of Markets in Financial Instruments Directive (MiFID) ESG preferences for the managed solutions offered by Vontobel. As part of the regulatory requirements of the Sustainable Financial Disclosure Regulation ("SFDR," Regulation (EU) 2019/2088) and the EU Taxonomy ("EUT," Regulation (EU) 2020/852), Vontobel has defined the relevant criteria and is currently guided by the proportions in the following overview in the mandates for each ESG preference.

ESG criteria

Different sustainability strategies can be chosen depending on the mandate. The ESG criteria used for the respective sustainability strategy are described in the relevant product information. The criteria with which the mandates are aligned according to Principal Adverse Impact ("PAI"), SFDR and EUT are described in the "Investing with ESG preferences—ESG Investment Framework for Private Clients" brochure. This can be found on our website: ***vontobel.com/mifid.***

MANDATES	SUSTAINABILITY STRATEGY	PAI[1]	SFDR[2]	EUT[2]
Vontobel Conviction	Risk-adjusted performance	0%	0%	0%
	Mitigation of negative effects	80%	0%	0%
Vontobel Custom	Risk-adjusted performance	0%	0%	0%
Vontobel Custom Plus	Risk-adjusted performance	0%	0%	0%
	Mitigation of negative effects	80%	0%	0%
Vontobel Diversifier	Risk-adjusted performance	0%	0%	0%
Vontobel Opportunities	Risk-adjusted performance	0%	0%	0%
Vontobel Specials European Equitites	Risk-adjusted performance	0%	0%	0%
Vontobel Specials Global Equitites	Risk-adjusted performance	0%	0%	0%
Vontobel Specials Global Dividend Achievers Accumulating/Distributing	Risk-adjusted performance	0%	0%	0%
Vontobel Specials Swiss Equities	Risk-adjusted performance	0%	0%	0%
Vontobel Specials US Equitites	Risk-adjusted performance	0%	0%	0%
Vontobel Specials Global Bonds	Risk-adjusted performance	0%	0%	0%
Vontobel Specials Swissness	Risk-adjusted performance	0%	0%	0%
Vontobel Delegate Custom	Risk-adjusted performance	0%	0%	0%
	Mitigation of negative effects	80%	0%	0%
Vontobel Delegate Global	Risk-adjusted performance	0%	0%	0%
Vontobel Delegate Global Prime	Risk-adjusted performance	0%	0%	0%
Vontobel Delegate Income	Risk-adjusted performance	0%	0%	0%
Vontobel Delegate Income Prime	Risk-adjusted performance	0%	0%	0%
Vontobel Delegate Principles	Mitigation of negative effects	80%	0%	0%
Vontobel Delegate Principles Prime	Mitigation of negative effects	80%	0%	0%
Vontobel Delegate Swissness	Risk-adjusted performance	0%	0%	0%
Vontobel Delegate Specials Equities Europe	Risk-adjusted performance	0%	0%	0%
Vontobel Delegate Specials Global Equitites	Risk-adjusted performance	0%	0%	0%
Vontobel Delegate Specials Global Dividend Achievers Accumulating/Distributing	Risk-adjusted performance	0%	0%	0%
Vontobel Delegate Specials Equities Switzerland	Risk-adjusted performance	0%	0%	0%

MANDATES	SUSTAINABILITY STRATEGY	PAI[1]	SFDR[2]	EUT[2]
Vontobel Delegate Specials Equitites US	Risk-adjusted performance	0%	0%	0%
Vontobel Delegate Specials Global Bonds	Risk-adjusted performance	0%	0%	0%
Vontobel Volt Invest	Risk-adjusted performance	0%	0%	0%

[1] Minimum proportion of the portfolio value aligned with PAI criteria.
[2] Minimum proportion of sustainable income in the sales of all companies in the portfolio.

The ESG criteria and the percentage of the portfolio aligned with the criteria can be adjusted by Vontobel at any time.

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Important information about sustainable investment strategies
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