

NOTICE FOR CANADIAN CLIENTS

Reliance on International Adviser Exemption (the «Exemption») contained in section 8.26 of National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (“NI 31-103”) and Notification to Permitted Clients of the prescribed information under subsection 8.26(4)(e) of NI 31-103

Please note that Vontobel Swiss Financial Advisers AG (“Vontobel SFA”) provides advice in reliance upon the Exemption from the Canadian adviser registration requirement under NI 31-103. Pursuant to the Exemption, Vontobel SFA is subject to certain restrictions, which means, among other things, that Vontobel SFA is only permitted to provide advice on “foreign securities” to “permitted clients” resident in certain provinces of Canada, currently Alberta, British Columbia, Nova Scotia, Ontario and Québec. A foreign security is a security issued by an issuer incorporated, formed or created under the laws of a foreign (i.e., non-Canadian) jurisdiction or a security issued by a government of a foreign jurisdiction. This serves to put you on notice that, in compliance with the Exemption, we will advise you on (and route trades on your behalf) only with respect to foreign securities and may ask for confirmations from you to confirm your status as a permitted client.

Kindly also note that:

1. Vontobel SFA is not registered in Canada and is advising you, its client, in reliance upon an exemption from the adviser registration requirement under section 8.26 of NI 31-103.
2. The jurisdiction of Vontobel SFA’s head office and principal place of business is Switzerland.
3. All or substantially all of Vontobel SFA’s assets may be situated outside of Canada.
4. There may be difficulty enforcing legal rights against Vontobel SFA because it is not registered in Canada, its head office is located outside of Canada and all or substantially all of its assets may be situated outside of Canada.

Please see below the names and addresses of the agents for service of process of Vontobel SFA in Canada:

Name and Address of the Agent for Service of Process of the Company in the Province of Alberta

Borden Ladner Gervais LLP Centennial Place, East Tower 1900, 520 - 3rd Avenue SW Calgary, Alberta T2P 0R3 Attention: Jonathan Doll
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Name and Address of the Agent for Service of Process of the Company in the Province of British Columbia
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Borden Ladner Gervais LLP 1200 Waterfront Centre 200 Burrard Street P.O. Box 48600 Vancouver, BC
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Attention: Jason Brooks

**Name and Address of the Agent for Service of Process of the Company
in the Province of Nova Scotia**

Cox & Palmer
1100 Purdy's Wharf Tower One
1959 Upper Water Street
P.O. Box 2380 Stn Central RPO
Halifax, Nova Scotia
B3J 3E5

Attention: Daniel Gallivan

**Name and Address of the Agent for Service of Process of the Company
in the Province of Ontario**

Borden Ladner Gervais LLP
Bay Adelaide Centre, East Tower
22 Adelaide Street West
Toronto, ON
M5H 4E3

Attention: Scott McEvoy

**Name and Address of the Agent for Service of Process of the Company
in the Province of Québec**

Borden Ladner Gervais LLP
1000 de La Gauchetière Street West
Suite 900
Montréal, Québec
H3B 5H4

Attention: Christian Faribault

